

Form 1

THE SECURITIES ACT, LAWS OF ZAMBIA-CAP. 354

(Section 8)

**APPLICATION BY COMPANY TO BE LICENSED AS A SECURITIES
EXCHANGE**

Application is hereby made to be licensed as a securities exchange under the Act and the following statements are made in respect thereof:

Note:

This application must be accompanied by-

- (a) the last audited balance sheet and profit and loss account certified as required by rule 6 of the Securities (Licensing and Fees) Rules, 1993;
- (b) a certified true copy of the rules of the proposed securities exchange;
- (b) a certified true copy of the applicant's certificate of incorporation; and
- (c) a certified true copy of the applicant's memorandum and articles of association.

Name of company:

Date of incorporation:

Registered office:

Proposed name of securities exchange:

Hours of business:

Number of members:

Number of members who will carry on business of dealing in securities independently of and in competition with each other:

Dated this.....day of.....19.....

Signature:.....

Name:.....

Chairman of the Securities Exchange