

CAPITAL MARKET OPERATORS WORKSHOP

VENUE: Intercontinental Hotel

Theme: Positioning Zambia as a Global Green Investments Hub

4th – 5th April 2023

DAY 1: Tuesday, 4 th April 2023		
TIME (Hours)	ACTIVITY	FACILITATOR / PRESENTER
08:00 - 08:30	Registration of participants	SEC
08:30 - 08:45	Welcome & Opening Remarks	Phillip Chitalu Chief Executive Officer Securities & Exchange Commission
09:00-09:45	Overview of 2022 Industry Performance– Regulators Perspective <ul style="list-style-type: none"> - RBS and Findings from Supervisory Activities – Risk Barometer - Investigation and Enforcement Matters 	Nonde Sichilima Securities and Exchange Commission Kambole Seta Securities and Exchange Commission
09:45-10:10	Open Discussion (Q & A)	All participants
	Group Photo	
10:15-10:30	HEALTH BREAK	
10:30-11:00	Capital Markets Master Plan Implementation Roadmap <ul style="list-style-type: none"> ▪ Summary of the five development areas and key perspectives as shared at the 2nd Capital Markets Indaba ▪ Implementation plan roadmap 	Mubanga Kondolo Securities and Exchange Commission
11:00-12:00	Capital Markets Master Plan Implementation Roadmap <ul style="list-style-type: none"> ▪ Action Teams Breakout Sessions <ul style="list-style-type: none"> i.) Action Team 1: Fiscal Policies ii.) Action Team 2: Choice International Investment Destination iii.) Action Team 3: SEC Funding Model iv.) Action Team 4: Product Development v.) Action Team 5: Capacity Building 	Capital Market Operators
12:00-13:00	Plenary – following Break Out Sessions Action Team Reports (10min each)	Capital Market Operators
13:00-14:00	LUNCH	
14:00-14:45	Strategies for Greening Economies Nigerian Perspective - Activities undertaken to promote green bonds. Positioning Zambia as a global Green Investments Hub <ul style="list-style-type: none"> ▪ Update on the green bonds project ▪ Steps to actualizing a Green Bond Transaction 	Dr. Mo' Onamegbe Director and Head of Strategy Securities and Exchange Commission – Nigeria Benson Mwileli Securities and Exchange Commission
14:45-15:15	Open Discussion (Q & A)	All participants

15:15-16:00	Progress towards Adoption of Integrated Reporting in Zambia Initiatives to Sustainability (ESG) Reporting	Mwelwa Mwaba Director Standards and Regulation ZICA Benson Mwileli Securities and Exchange Commission
16:00-16:30	Open Discussion (Q & A)	All participants
16:30-16:45	Closing Remarks	Mutumboi Mundia Director-Market Supervision & Development Securities & Exchange Commission



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Day 2: Wednesday, 5 th April 2023		
TIME (hours)	ACTIVITY	FACILITATOR
08:00-08:30	Registration	SEC
08:30-08:45	Welcome & Day 1 Reflections	Diana Sichone Director – Enforcement & Legal Services Securities & Exchange Commission
08:45-09:15	Overview of the Commission's 2022-2026 Strategic Plan <ul style="list-style-type: none"> - Salient features of the Strategic Plan - Current vs reorganization (functions of the Commission) - Funding Models 	Phillip Chitalu Chief Executive Officer Securities & Exchange Commission
09:15-09:40	Open Discussion (Q & A)	All Participants
09:30-10:15	DELS Update <ul style="list-style-type: none"> ▪ Salient features of the Securities (Amendment) Act No. 21 of 2022. 	Kalonga Sakala Securities and Exchange Commission
10:15-10:45	Open Discussion	All Participants
10:30-10:45	HEALTH BREAK	
10:45-11:30	Market Development <ul style="list-style-type: none"> ▪ Key Milestones and Prospects in the Zambian Capital Markets going forward – Industry Perspective ▪ Key Milestones and Prospects in the Zambian Capital Markets going forward – Regulators Perspective 	Capital Markets Association of Zambia Dingase Makumba Securities and Exchange Commission

11:30 - 12:00	Open Discussion (Q & A)	All Participants
12:00	Closing Remarks	Mutumboi Mundia Director-Market Supervision & Development Securities & Exchange Commission
12:30	END OF PROGRAMME & LUNCH	