

# Join us to celebrate the launch of the Zambia Regulatory Assessment

The Chartered Institute for Securities & Investment (CISI) and the Securities and Exchange Commission Zambia (SEC Zambia) have worked together to create an updated edition of the Zambia Regulatory Assessment. Together CISI and SEC Zambia aim to support upholding professional standards in the Zambian capital markets as this assessment forms part of the licensing programme when paired with International Introduction to Securities & Investment. Join us as we celebrate this relaunch and register your interest in the assessment today!



Friday 23 September 2022



7:30 – 9:30AM



Radisson Blu Hotel, Lusaka,  
Zambia

## The Zambia Regulatory Assessment

By achieving this qualification you will build an understanding of key principles in the Zambian capital markets. These are the topics you will learn about:

- Legal and regulatory framework
- Takeovers and mergers
- Conduct of business
- Accounting and financial rules
- Collective investment schemes
- Markets and trading rules
- SEC enforcement powers
- Other investment fund vehicles
- Self-regulating organisations (SROs)

## Benefits of a CISI qualification



Global recognition



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